



0120104

1/20

Attorney Docket No. 03777/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Takashi HAKAKAWA  
Serial No. : 10/749,984  
Filed : December 31, 2003  
For : INK JET RECORDING APPARATUS  
Art Unit : 2853  
Examiner : MEIER, STEPHEN D

**INFORMATION DISCLOSURE STATEMENT  
WITH STATEMENT UNDER 37 CFR 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Submitted herewith are the following:

- (1) Copy of a European Search Report dated September 14, 2004, issued in the counterpart European application;
- (2) Copies of the cited publications; and
- (3) Form PTO/SB/08A. It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

The European Search Report is in English, thereby satisfying the requirements for a concise explanation of relevance for any non-English language publications cited therein (MPEP 609 III A(3)).

Express Mail Mailing Label  
No.: EV 512 419 853 US

Date of Deposit: October 18, 2004

I hereby certify the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231

N. Sahadeo

Nalini P. Sahadeo

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card payment, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

The listing of family members in the European Search Report reveals the following:

1. USP 5,828,395 is a family member of Japanese 09-094978, which was filed with the IDS filed June 1, 2004.
2. USP 6,158,855 is a family member of Japanese 3168122, which was filed with said IDS filed June 1, 2004.
3. USP 6,158,885 is a family member of Japanese 07-117239 and Japanese 3168122, both of which were filed with said IDS filed June 1, 2004.
4. USP 6,158,855 is the US patent which matured from US patent Appln Publ. No. 2002/0012034, which was filed with the IDS filed December 31, 2003.

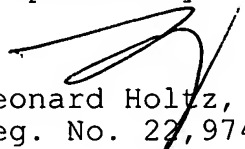
The U.S. patent publications identified on said form PTO/SB/08A are not enclosed herewith since the USPTO waived the requirement to file copies of U.S. patents and U.S. patent application publications for U.S. applications filed after June 30, 2003. See the USPTO Notice dated July 11, 2003.

**STATEMENT UNDER 37 CFR 1.97(e) (1)**

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of September 14, 2004. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

It is respectfully requested that the publications listed on the attached form PTO/SB/08A be considered and made of record.

Respectfully submitted,



Leonard Holtz, Esq.  
Reg. No. 22,974

Encls.

Dated: October 18, 2004

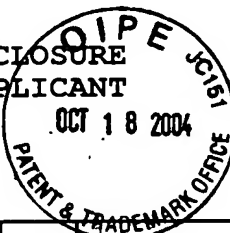
Frishauf, Holtz, Goodman & Chick, P.C.  
767 Third Avenue, 25th Floor  
New York, New York 10017-2023  
Tel. No. (212) 319-4900  
Fax. No. (212) 319-5101  
LH/nps

Please type a plus sign (+) inside this box →

+

PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> 			Application Number	10/749,984
			Filing Date	December 31, 2003
			First Named Inventor	T. HARAKAWA
			Group Art Unit	2853
			Examiner Name	MEIER, STEPHEN D
Sheet	1	of	Attorney Docket Number	03777/LH

## U.S. PATENT DOCUMENTS

Exam. Inits <sup>*</sup>	Cite No <sup>1</sup>	Document Number	Kind Code <sup>2</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion
		5,828,395	A	TAKATA	10-27-1998	
		5,841,455	A	TANAKA et al	11-24-1998	
		6,120,140	A	HIROSAWA et al	09-19-2000	
		6,158,855	A	SAIKAWA	12-12-2000	
		6,270,211	B1	LONG et al	08-07-2001	

## FOREIGN PATENT DOCUMENTS

Exam Inits <sup>*</sup>	Cite No <sup>1</sup>	offc <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
		DE	26 54 049	A1	OLYMPIA WERKE AG	06-01-1978		
		JP	2001-239675		KONICA CORP.	09-01-2004		
Examiner Signature					Date Considered			

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

DATE MAILED: October 18, 2004